



ChicagoClearing
CORPORATION

CCC and Securities Class Action Claim Filing

Chicago Clearing Corporation (CCC)

- CCC was founded in 1993 to make markets in class action coupon settlements
- CCC has realized well over \$100 million dollars for class members in thirteen unique and diverse class action coupon markets created by CCC
- Hundreds of thousands of class members would have received nothing without the presence of CCC
- CCC has advocated strongly for class members and submitted affidavits and expert witness testimonies in countless class action settlements
- CCC was the approved market maker in the Auction Houses Litigation and is the Voucher Administrator and market maker in the Linen Antitrust Litigation



Securities Class Action Claim Filing

- CCC transferred its class action expertise to filing claims in the Options Antitrust Litigation in 2006
- First clients were option market makers, trading firms and specialists
- CCC's clients now include investment advisors, banks and bank trust departments, brokerage firms, hedge funds and mutual funds
- CCC has over 450 clients, representing more than 200,000 investors
- CCC has filed client claims worth over \$1 billion in recognized loss so far
- In three years, CCC believes it has become the world's largest securities claim filing service

CCC's Philosophy: Filing Securities Claims

At CCC, we:

- Collect a contingency fee only when client receives settlement proceeds
- Develop the most efficient software to standardize dissimilar client data
- Provide client reports of claims filed and claims status
- Safeguard all client data
- Monitor new filings at no charge
- Remain in constant contact with claims administrators
- Always politely, promptly, and personally answer the phone
- Alleviate the maddening burden of filing securities claims internally

The CCC Difference

- Contingency fee that aligns CCC's interests with clients' interests
- Concise agreement with 30-day termination clause
- Address and fix all deficiencies
- File late claims
- Track class action notices for free
- Help with Opt-Out letters to clients and Form ADV language
- Customize thorough distribution models
- Retrieve all the settlement proceeds that your clients are entitled to

James Tharin, CCC's Founder

From Chicago Magazine



- Retail Account Executive with Merrill Lynch and Shearson Lehman from 1982 - 1985
- Chicago Board Options Exchange (CBOE) Market Maker Member 1986 - 1994
- Vice President Fossett Corporation 1986 - 1994
- Founded Certificate Clearing Corporation in 1993
- Sold Certificate Clearing Corporation in 1999
- Vice Chairman Mucho.com 2000 - 2002
- Re-formed Certificate Clearing Corporation as Chicago Clearing Corporation (CCC) in 2002
- Founded Jackson Financial Group 2002, a broker/dealer regulated by the CBOE

Brian Blockovich & Matt Murray

- Brian Blockovich
 - 1991 B.A. University of Illinois
 - 1994 J.D. Loyola University School of Law
 - 1995 - 1997 CCC - Account Executive
 - 1997 - 1999 CCC - Vice President and Assistant General Counsel
 - 2002 - present CCC - President and General Counsel
- Matt Murray
 - 1995 B.A. Villanova University
 - 2004 - 2006 CCC – Account Executive / Sotheby's & Christie's Litigation
 - 2006 - present CCC – Vice President of Sales

Contact CCC Today

For the nuts and bolts, contact CCC:

James Tharin jtharin@chicagoclearing.com

Brian Blockovich bblockovich@chicagoclearing.com

Matt Murray mmurray@chicagoclearing.com

404 South Wells Street
Suite 600
Chicago, IL 60607

1-312-204-6970 (p)
1-312-204-6980 (f)
Chicagoclearing.com





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